



GP 2178

Copy

PATENTS
32206/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

RECEIVED

AUG 21 2002

Technology Center 2100

Applicants : Graham et al.

Application No. : 09/766,067

Conf. No. : 8300

Filed : January 19, 2001

For : SYSTEM AND METHOD FOR MANAGING
INTELLECTUAL PROPERTY ASSETS

Group Art Unit : 2176

Examiner : Not Yet Assigned

New York, New York
August 13, 2002Commissioner for Patents
Washington, D.C. 20231SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants wish to make of record the documents listed in the chart below. A Form PTO-1449 (in duplicate) and copies of the cited documents are enclosed.

U.S. PATENT DOCUMENTS

<u>Patent Number</u>	<u>Date</u>	<u>Inventor</u>
5,999,907	12/07/1999	Donner

FOREIGN PATENT DOCUMENTS

<u>Country</u>	<u>Patent Number</u>	<u>Date</u>
UK	GB 2,337, 613	11/24/1999
PCT	WO 01/08031 A2	02/01/2001
PCT	WO 92/03000	02/20/1992
PCT	WO 99/59081	11/18/1999

OTHER DOCUMENTS

Akash Joshi, "And now, intellectual capital can be traded on net," Financial Express, November 3, 1999.

Applicants request that these documents be considered by the Examiner before issuance of the next Office Action and made of record in this file. The Examiner is also asked to initial and return a copy of the enclosed PTO-1449 form to evidence such consideration.

This Information Disclosure Statement is being filed in accordance with the following provision:

[x] 37 C.F.R. § 1.97(b)(3). To the best of the undersigned's knowledge, this Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits. No fee is required.

Certification Pursuant
To 37 C.F.R. § 1.97 (e)

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the July 25, 2002 International Search Report on applicants' corresponding Patent Cooperation Treaty application PCT/US01/01842. A copy of that International Search Report is enclosed.

If it is determined that a fee is required in connection with this paper, please charge such fee (or credit any overpayment) to Deposit Account No. 50-0324. A duplicate copy of this paper is enclosed herewith.

Respectfully submitted,

By:


Matthew T. Byrne
Registration No. 40,934
Attorney for Applicant(s)
SHEARMAN & STERLING
599 Lexington Avenue
New York, NY 10022
(212) 848-4000

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231

on August 13, 2002
(Date)

Typed or printed name of person signing this certificate:

Matthew T. Byrne

Signature:

